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**UNIVERSITY OF LUCKNOW
LUCKNOW**



**ORDINANCES GOVERNING
THE AWARD OF THE DEGREE OF
DOCTOR OF LETTERS (DLitt), DOCTOR OF SCIENCE (DSc)
AND DOCTOR OF LAWS (LLD)**

2020

1. INTRODUCTION

- 1.1 These Ordinances shall be called the Doctor of Literature (DLitt), Doctor of Science (DSc) and Doctor of Laws (LLD) Ordinances 2020 of University of Lucknow and shall be in effect from the date of approval by the competent authorities of University of Lucknow.
- 1.2 The University of Lucknow (hereinafter referred to as University) would consider the admission for DLitt/ DSc/ LLD programme in the Faculties and Institutes established as per the Statutes of the University of Lucknow.
- 1.3 The Degree of DLitt shall be common to all Faculties of Arts, Education, Commerce and Fine Arts; Degree of DSc shall be common to all Faculties of Science, Ayurveda, Unani, Engineering and Technology; and the Degree of LLD shall be for the Faculty of Law.
- 1.4 The admission for DLitt/DSc/LLD programme would be made once every year as per notification by the University.
- 1.5 The Degree of DLitt/DSc/LLD of University of Lucknow shall be conferred on the candidates who fulfil the requirements as specified in these Ordinances.

2. ELIGIBILITY

- 2.1 A candidate seeking admission to DLitt/DSc/LLD programme of the University, must have pursued outstanding research in the concerned discipline and obtained the minimum qualifications required for admission as mentioned below. The candidate:

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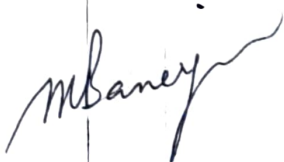
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- (a) must have obtained a Ph.D. or an equivalent degree from any Indian or Foreign University recognized by the UGC, in the concerned discipline.
- (b) must have ten years experience in Teaching, Research, Administration or as Professionals after obtaining Ph.D. degree.
- (c) must have published a minimum of 15 research papers, related to the proposed area of post-doctoral research, in UGC listed journals or in journals with an impact factor of minimum of 2.0 (Scopus/SCI Indexed). Further, the candidate should be the first author/corresponding author in these publications.

3. ADMISSION PROCEDURE

- 3.1 A candidate, who is seeking admission to D.Litt./ D.Sc./ LL.D. programme and who is eligible for admission in accordance with Clause 2.0 of these Ordinances, shall apply to the University in the prescribed application form along with required documents and application fee.
- 3.2 The documents required to be annexed with the application form shall be as follows:
 - (a) His/ her bio-data giving the details of educational qualifications, fields of specialization, research experience, academic distinctions, etc., along with a passport size photograph.
 - (b) Six copies of detailed synopsis of about 1500 to 3000 words on the proposed theme of research highlighting its originality and applicability to solve societal problems.



- (c) A brief account of his/her past research work, in about 1000 words on the discipline in which he/she has applied for admission to DLitt/DSc/LLD programme, indicating how it is contributory to the advancement of knowledge.
- (d) List of publications.
- (e) Self-attested copies of certificates in support of qualifications and experience.

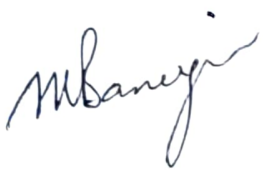
4. A POST-DOCTORAL RESEARCH COMMITTEE

4.1 A Post-Doctoral Research Committee constituted by the Vice Chancellor shall deal with all matters connected with the DLitt/DSc/LLD programme of the University in accordance with these Ordinances.

4.2 The constitution of the Post-Doctoral Research Committee shall be as follows:

- a) Vice Chancellor or Nominee - Chairperson
- b) Dean of the concerned Faculty - Member
- c) Concerned Head of the Department/Director of the Institute
- Member
- d) Two Professors from the relevant discipline, to be nominated by the Vice Chancellor, out of whom one will act as the Advisor of the concerned candidate -
Members

4.3 The committee shall evaluate the quality of the publications at the time of scrutiny and recommend the eligible candidates for admission.





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5. SUBMISSION OF THE THESIS

- 5.1 The candidate shall submit the thesis after one year from the date of registration and before the completion of two years. An extension of one year may be obtained with special permission from the Vice Chancellor.
- 5.2 The candidate shall apply for the continuation of registration every year, failing which, the admission will be cancelled.
- 5.3 The topic of D.Litt./ D.Sc./ LL.D. thesis may be related to his/her doctoral work or an interdisciplinary area of research but the thesis/dissertation submitted for Ph.D. or any other previous Degree shall not form part of the D.Litt./ D.Sc./ LL.D. thesis.
- 5.4 The candidate, admitted to D.Litt./ D.Sc./ LL.D. programme, shall deliver a pre-submission seminar in the Department before the submission of the thesis, which shall be arranged by the respective Head of the Department/ Director of the Institute on the recommendation of the Advisor of the candidate to apprise the teachers and other researchers of the Department of the research work.

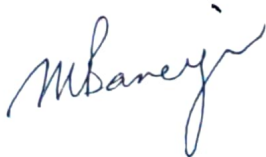
6. DECLARATION

- 6.1 The thesis shall be accompanied by a declaration in the prescribed format signed by the candidate (Annexure A) and a certificate from the Advisor, Head of the Department / Director of the Institute and the Dean of the Faculty (Annexure B). The candidate shall certify that the thesis is based on the author's original research work and has not previously formed the basis for the award of any Degree such as Master Degree or Ph.D. Degree, Diploma,



Associateship, Fellowship or other similar title. No part of the thesis should be based on the author's published or unpublished PG/MPhil/PhD or any other degree thesis or dissertation or project report.

- 6.2 The candidate shall indicate generally in a preface to his/her thesis and specially in the list of references, the sources from which the material has been taken and the extent to which s/he has availed himself/herself of the work of others; s/he shall also state in what respect his/her investigations appear to tend to the advancement of science or otherwise form a valuable contribution to the literature of the subject dealt with.
- 6.3 The candidate shall submit the thesis as per the following guidelines:
- (a) Five copies of the thesis in soft bound form with printing on both sides of paper.
 - (b) The title page of the thesis shall contain a statement that the thesis has been submitted for the award of the concerned degree for which the candidate has been admitted.
 - (c) A soft copy of the Thesis and the Extended Abstract of the thesis on CD/ DVD/PenDrive.
 - (d) A certificate from the Advisor, Head of the Department/Director of the Institute and Dean of the Faculty, that the thesis has been submitted for the award of the concerned degree of the University.
 - (e) The thesis shall be either in English or in Hindi except for the case where the subject of the thesis itself is a language. In such a case, the thesis may, at the option of the candidate, be in that specific language.
 - (f) Additional documents to be included in the thesis (**Annexure C**).




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6.4 The work of the candidate shall comply with the following conditions to merit the award of the degree:

- a) It must be a substantial work making a distinct addition to the literature in the concerned discipline.
- b) It must be original in the sense of opening up new fields of research, or of making a marked advancement on the results of previous investigations, or of giving a new interpretation of the facts already known.
- c) It must be a scholarly work of high quality.
- d) It should be based on the work published in journals in the form of research papers and/or published in the form of books/monographs, chapter contribution to books/monographs, etc.,

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S. K. Saha

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out of which the candidate should be the first author in at least two such publications.

- e) It must NOT be the work, which has been previously submitted for a degree or a diploma in this or in any other University.

7. EVALUATION

- 7.1 **Panel of Examiners:** submission of the thesis by the candidate, On the recommendation of the Advisor, the Board of Studies of the concerned subject shall recommend a panel of six examiners not below the rank of a Professor (three/four from various states of India but outside Lucknow and two/three from abroad) who are experts in the concerned discipline.
- 7.2 In case the candidate fails to submit the thesis within three months of the recommendation of the Panel of Examiners, the panel shall lapse and a new panel would have to be constituted.
- 7.3 **Board of Examiners:** On receipt of the panel of the examiners, the Research Section of the Registrar's office shall forward the same to the Vice Chancellor for the appointment of the Board of Examiners from the panel. The Board of Examiners shall consist of three members, out of which at least one shall be from abroad.
- 7.4 **Evaluation of Thesis:**
- (a) The Research Section shall get in touch with each examiner to secure acceptance of the examinership through e-mail and the soft copy of the Extended Abstract of the thesis may be sent to him/her, to get his/her consent at the earliest. If however, no reply is received from an examiner within one month time, his/her




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appointment shall be cancelled and a new examiner shall be appointed from the existing panel of examiners.

- (b) On receipt of the acceptance from an examiner, the Research Section shall forward the copy of the thesis to him/her, along with a copy of the regulations related to the award of the DLitt/ DSc/LLD degree and take necessary action to get the report of the examiner expeditiously.
- (c) The examiners shall be requested to submit their individual reports within two months of the receipt of the thesis.
- (d) In case, an examiner does not send his/her report within the above period, a reminder shall be sent to him/her. This shall be followed by a subsequent reminder after a fortnight.
- (e) In the event of the report not being received from the examiner within 12 weeks, his/her examinership shall be cancelled and a new examiner shall be appointed, from the existing panel of examiners.
- (f) The examiners shall examine the thesis specifically with a view to judge whether the work is in accordance with Clause 6.4.
- (g) The examiners shall give explicit reports with any one of the following recommendations
 - (i) the thesis be accepted for the award of DLitt/ DSc/LLD
 - (ii) the thesis be rejected
 - (iii) the thesis be revised as per the comments
- (h) The examiner shall give specific and unambiguous reasons for his/her recommendations. If the thesis is recommended for revision, the examiner may suggest points for improvement of the presented work.
- (i) If the thesis is recommended for revision, the candidate shall be



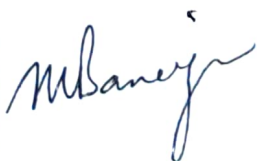
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required to submit the revised thesis not earlier than six months and not later than one year, from the date of communication of the report to him/ her by the University. The candidate shall be required to remit only the Examination fee for re-submitting the revised thesis.

- (j) If the thesis has been recommended for revision, a fresh appointment of examiners shall be made from the existing panel of examiners. If the need be, a fresh panel of examiners may be recommended by the Board of Studies. The other procedures as per the Clauses 7.4 (a) to (e) shall be followed for the evaluation of the thesis. However, the Research Section, along with the revised thesis, shall send the copy (copies) of the recommendation(s) of the examiner(s) who recommended the revision of the thesis.
- (k) The examiners who evaluate the revised thesis shall recommend only either the acceptance or the rejection of the thesis and shall not recommend any further revision of the thesis.

7.5 Award of the Degree:

- (a) the Post-doctoral Research Committee shall consolidate the reports of all the examiners. There shall be no viva-voce examination. If all the reports are unanimous, recommending the thesis to be accepted for the award of the degree, and the Committee considers the case to be fit and proper, it shall recommend it for the award of the degree.
- (b) If two examiners recommend the rejection of the thesis, the thesis shall be rejected.
- (c) If the thesis is rejected, the candidate shall not be allowed to apply again for admission within the next two years.




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- (d) After the Post-doctoral Research Committee approves the thesis for the award of the degree, the candidate may be given copies of the examiners' reports for which s/he shall have to apply separately.
- (e) The year of award of the degree shall be the year of submission of the thesis provided the thesis is accepted without revision. In case of revision, the year of award of the degree shall be the year of submission of the revised thesis.
- (f) The degree certificate shall mention the title of the thesis and the name of the concerned discipline in which the candidate was admitted.

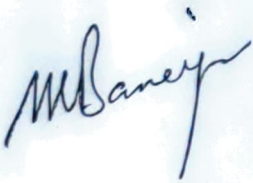
8. CONCLUSION

- 8.1 Notwithstanding anything contained in these Ordinances, all matters related to the candidates shall be governed by the rules and procedures framed by the University.
- 8.2 From the date when these Ordinances come into operation, all previous Ordinances on the subject shall cease to have effect. Provided that this revocation shall not affect the previous Ordinances so revoked or anything done or suffered under any previous Ordinances so revoked or affect any right, privilege, obligation or liability acquired, arrived or incurred under any Ordinances so revoked.
- 8.3 Any doubt or dispute about the interpretation of these Ordinances shall be referred to the Vice-Chancellor, whose decision shall be final.
- 8.4 The Vice-Chancellor may modify, amend and/ or delete any of the clauses given in these Ordinances or add any clause(s) to these Ordinances, to facilitate the pursuit of excellence in research, provided that any such




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modification, amendment, deletion, and addition shall be reported to the Academic Council at its next meeting.



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ANNEXURE - A**CANDIDATE'S DECLARATION**

I,, declare that this thesis, entitled
".....",
submitted for the award of the degree of of this
University, has not been submitted earlier for the award of any degree or diploma of
this or any other University.

(Signature of the candidate)

Date:

Place: Lucknow



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ANNEXURE - B

CERTIFICATE

This is to certify that this thesis entitled
“.....” has been submitted by
.....for the award of the degree of
.....of the University of Lucknow.

.....
...
(Signature of the Advisor)
Department/
Institute)

.....
(Signature of the Head of the
Director of the

.....
(Signature of the Dean of the Faculty)

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[Signature]

Neenabashi Pawha

ANNEXURE - C

FORMAT OF THE THESIS

The following format may be normally adopted for the **DLitt/ DSc /LLD** thesis:

1. Cover/Title page.
2. Inner cover page.
3. Declaration by the candidate as per the format given in **Annexure A**, to the effect that the work has not been submitted for any other degree or diploma.
4. Certificate from the Advisor, Head of the Department/Director of the Institute and the Dean of Faculty as per the format given in **Annexure B**.
5. Contents.
6. An Extended Abstract of about 1000 words, describing the research work carried out relevant to the discipline in which the candidate has applied for the degree, explaining how far the work is original, exemplary and is contributive to the advancement of knowledge. It shall also summarize the relevance of the publications to the specific discipline of the thesis being submitted.
7. Reprints of the published work by the candidate, in the relevant discipline, in the form of research papers, abstracts of books/monographs, chapter contribution to books/ monographs/ citations of candidate's work by others.
8. List of publications.
9. A personal profile of the candidate with photograph, not exceeding one page.



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